

## COL7160 : Quantum Computing

Lecture 21 : Amplitude Estimation and Quantum Query Lower Bounds

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# 1 Amplitude Estimation

Following our discussion on Grover's family of algorithms, we analyze the precision of **Amplitude Estimation**. As a recap, given a unitary  $A$  such that:

$$A|0\rangle = \sqrt{p}|\Psi_{good}\rangle + \sqrt{1-p}|\Psi_{bad}\rangle$$

where  $\sqrt{p} = \sin\theta$ , the Grover iterate  $G$  acts as a rotation in the 2D subspace spanned by  $\{|\Psi_{good}\rangle, |\Psi_{bad}\rangle\}$ .

## 1.1 Eigenstructure and Phase Estimation

The Grover operator  $G = A(2|0\rangle\langle 0| - I)A^{-1}Z_f$  has eigenvectors:

$$|\Psi_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\Psi_{good}\rangle \pm i|\Psi_{bad}\rangle)$$

with corresponding eigenvalues  $e^{\pm i2\theta}$ . When we apply Quantum Phase Estimation (QPE) using  $m$  qubits on the state  $A|0\rangle$ , the initial state decomposes as:

$$A|0\rangle = \alpha|\Psi_{+}\rangle + \beta|\Psi_{-}\rangle$$

where  $\alpha = \frac{e^{-i(\pi/2-\theta)}}{\sqrt{2}}$  and  $\beta = \frac{e^{i(\pi/2-\theta)}}{\sqrt{2}}$ .

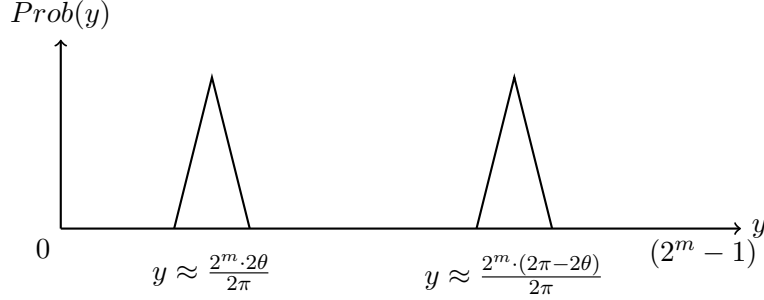
## 1.2 Applying Phase Estimation

We apply QPE to the operator  $G$  using the initial state  $A|0\rangle$ . The circuit uses  $m$  ancilla qubits. Upon measurement, we obtain a bitstring  $y$  which can be viewed as an integer  $y \in \{0, \dots, 2^m - 1\}$  or as a binary fraction  $0.y_1y_2 \dots y_m$ .

The probability distribution of  $y$  shows two distinct peaks. These peaks correspond to the best  $m$ -bit approximations of the phases associated with

the eigenvalues  $e^{\pm i2\theta}$ . Specifically, the measured value  $y$  (viewed as a fraction  $\frac{y}{2^m}$ ) approximates:

$$\frac{y}{2^m} \approx \frac{2\theta}{2\pi} \quad \text{or} \quad \frac{y}{2^m} \approx 1 - \frac{2\theta}{2\pi}$$



From the measured integer  $y$ , we recover our estimate  $\theta^*$ :

$$\theta^* = \frac{\pi y}{2^m}$$

### 1.3 Error Analysis and Complexity

Applying Phase Estimation to the initial state  $A|0\rangle$  effectively processes the eigenstates  $|\Psi_{\pm}\rangle$  in parallel. Measuring the register yields an integer  $y$  such that  $\frac{2\pi y}{2^m}$  approximates the phase  $2\theta$  (from  $|\Psi_+\rangle$ ) or  $2\pi - 2\theta$  (from  $|\Psi_-\rangle$ ).

- **Precision:** With  $m$  ancilla qubits, the measurement collapses the state to the nearest integer  $y$ . This provides an estimate  $\theta^* = \frac{\pi y}{2^m}$  such that  $|\theta^* - \theta| \leq \frac{\pi}{2^m}$ .
- **Success Probability:** This angular precision translates to an estimate of the probability  $p = \sin^2 \theta$  such that  $|\sin^2 \theta - \sin^2 \theta^*| \leq C \cdot 2^{-m}$  for some constant  $C$ .
- **Query Comparison:**
  - **Classical:** To achieve an additive error  $\epsilon$  in estimating  $p$ , a classical algorithm requires  $O(1/\epsilon^2)$  queries via standard sampling.
  - **Quantum:** In QPE, the implementation requires controlled unitaries  $G, G^2, G^4, \dots, G^{2^{m-1}}$ . The only way to create the unitary  $G^{2^i}$  is to apply  $G$   $2^i$  times; i.e., it requires  $2^i$  queries to  $Z_f$ . The total number of queries is  $\sum_{i=0}^{m-1} 2^i = 2^m - 1$ . Since  $2^m \approx 1/\epsilon$  is sufficient for the desired precision, the quantum complexity is  $O(1/\epsilon)$ .

## 2 Quantum Query Lower Bound

We now shift from designing algorithms to understanding the fundamental limits of the **black-box query model**.

### 2.1 The Black-Box Model

Given a function  $f : \{0, 1\}^n \rightarrow S$ , where  $S$  is usually  $\{0, 1\}^m$  or  $\{0, 1\}$ , we wish to solve a problem  $\Phi$  about  $f$  using a quantum circuit that makes  $k$  calls (queries) to  $f$ .

### 2.2 Search vs. Decision Problems

- **Total Functions:** The function  $f : \{0, 1\}^n \rightarrow \{0, 1\}$  is defined for *all* possible inputs in the domain. An example is Decision Grover (determining if *any*  $x$  exists such that  $f(x) = 1$ ).
- **Partial / Promise Functions:** The function is only defined on a subset of the domain. We are “promised” that the input  $x$  satisfies a certain property (e.g., in Simon’s problem, we are promised  $f$  is either 1-to-1 or 2-to-1).

We distinguish between finding a witness and deciding a property:

1. **Simon’s Problem:** Find  $s$  such that  $f(x) = f(y)$ . The promise is that  $f(x) = f(y)$  iff  $y \in \{x, x \oplus s\}$ .
2. **Grover’s Problem:** Find  $x$  such that  $f(x) = 1$ .
3. **Decision Simon (Partial/Promise):** Decide if  $s \neq 0^n$ .
4. **Decision Grover (Total):** Decide if there exists *any*  $x$  such that  $f(x) = 1$ .

### 2.3 Query Complexity Comparison

The following table summarizes the queries required for decision versions of these problems:

Model	Decision Simon	Decision Grover
Deterministic	$\sqrt{2^n}$	$2^n$
Randomized	$\sqrt{2^n}$	$\frac{2}{3} \cdot 2^n$
Quantum	$O(n)$	$\sqrt{2^n}$

## 2.4 Complexity Bounds for Total Boolean Functions

For total Boolean functions, the gap between classical and quantum query complexities is limited to a polynomial factor.

**Deterministic vs. Quantum Complexity:** Based on Beals et al. (1998), the deterministic query complexity  $D(f)$  is at most the sixth power of the quantum query complexity  $Q(f)$ :

$$D(f) \leq O(Q(f)^6) \quad \text{or equivalently} \quad Q(f) \geq \Omega\left(D(f)^{1/6}\right)$$

This theorem implies that for total functions, quantum computers cannot provide an exponential speedup; the maximum possible speedup is characterized by this sixth-power relation.

## 3 The Polynomial Method in Quantum Query Complexity

The **Polynomial Method** is a fundamental technique used to prove lower bounds on the number of queries required by quantum algorithms. The core principle is that the behavior of a quantum algorithm can be captured by a low-degree multilinear polynomial.

### 3.1 Representing Quantum Algorithms as Polynomials

A quantum algorithm making  $T$  queries to an input  $x \in \{0, 1\}^n$  produces a final state where the amplitudes are polynomials in  $x_1, \dots, x_n$  of degree at most  $T$ . Consequently, the probability of the algorithm accepting (outputting 1) is a polynomial of degree at most  $2T$ .

Let  $\mathcal{A}$  be a quantum algorithm that makes  $T$  queries to an input  $x$ . Then there exists a real-valued multilinear polynomial  $p(x_1, \dots, x_n)$  of degree  $\deg(p) \leq 2T$  such that for all  $x \in \{0, 1\}^n$ :

$$p(x) = \Pr[\mathcal{A} \text{ accepts } x]$$

### 3.2 Lower Bounds via Approximate Degree

To compute a Boolean function  $f$  with error at most  $1/3$ , a quantum algorithm must correspond to a polynomial  $p$  such that  $|p(x) - f(x)| \leq 1/3$  for all  $x$ . This leads to the definition of the **approximate degree**:

- **Approximate Degree**  $\widetilde{\deg}(f)$ : The minimum degree of a real polynomial  $p$  such that  $|p(x) - f(x)| \leq 1/3$  for all  $x \in \{0, 1\}^n$ .

The relationship between quantum query complexity  $Q(f)$  and approximate degree is given by:

$$Q(f) \geq \frac{1}{2} \widetilde{\deg}(f)$$

This method has been used to prove tight lower bounds for functions such as *OR*, *AND*, and the parity function, confirming that quantum speedups for these total functions are limited to at most a polynomial factor.

### References

- [1] R. Beals, H. Buhrman, R. Cleve, M. Mosca, and R. de Wolf. *Quantum Lower Bounds by Polynomials*. FOCS 1998. <https://homepages.cwi.nl/~rdewolf/publ/qc/polynomials.pdf>
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